

Inelastic spin relaxation in quantum dots



Toshimasa Fujisawa

NTT Basic Research Laboratories



collaboration

- D. G. Austing (NTT BRL, moved to NRC)
- Y. Tokura (NTT BRL)
- Y. Hirayama (NTT BRL, CREST-JST)
- S. Tarucha (Univ. of Tokyo, NTT BRL, ERATO-JST)

Outline

1. Introduction (energy relaxation inside a QD)

2. Momentum relaxation phonon emission

3. Spin & momentum relaxation cotunneling effect spin-orbit interaction



- 4. Spin relaxation between Zeeman sub-levels (spin-orbit interaction)
 - ~ ms (estimation)

5. Summary

Environment surrounding a quantum dot



important characteristics for dynamics, coherence, quantum computing, ...

Energy relaxation in a quantum dot

momentum relaxation, which changes the orbital but does not change the spin



theory: electron-phonon interaction $\tau_{e-ph} \sim 1 \text{ ns}$ (for GaAs QD, $\Delta \sim 1 \text{ meV}$) U. Bockelmann, Phys. Rev. **B50**, 17271 (1994).

optical studies: discussions about phonon bottleneck effect J. Urayama et al., Phys. Rev. Lett. 86, 4930 (2001). R. Heiz et al., Phys. Rev. B 64, 241305 (2001).

spin relaxation, which changes the spin (and can changes the orbital)



theory: spin-orbit interaction (+ electron-phonon interaction)

 $\tau_{so} > 100 \ \mu s$ (for GaAs QD, $\Delta \sim 1 \ meV$)

A. V. Khaetskii and Yu. V. Nazarov, Phys. Rev. B61, 12639 (2000)

optical studies: longer than measurable range at low temperature (>20 ns @T~10K) M. Paillard et al., Phys. Rev. Lett. 86, 1634 (2001).

A vertical quantum dot (artificial atom)



SEM picture of mesa after gate metallization



S. Tarucha et al., Phys. Rev. Lett. 77, 3613 (1996).L. P. Kouwenhoven et al., Science 278, 1788 (1997).

non-circular dot

(nominally circular, $d = 0.50 \mu m \phi$)

no orbital degeneracy

$$2p' - (2p_y)$$

 $2p - (2p_x)$

 $\Gamma_{S} \sim (3 \text{ ns})^{-1}$ $\Gamma_{D} \sim (100 \text{ ns})^{-1}$

measured at B = 0 - 8 T and T = 0.05 - 1 K

1s —

Energy spectrum of one- and two-electron QD



Electrical pump-and-probe experiment I (one-electron QD)





rise time of the pulse: ~ 0.7 ns

empty QD (N = 0)

pump into 2p state (~ 2 ns),
and probe by drain current
 (max. ~100 ns)

average number of tunneling electrons during one pulse

$$< n_t > \sim \Gamma_D \tau_{1s-2p} [1 - \exp(-t_h/\tau_{1s-2p})]$$

 $\sim 2 \text{ ns}$ $\sim 100 \text{ ns}$

T. Fujisawa et al., Phys. Rev. B 63, 081304(R) (2001).

Pump-and-probe current I





$$< n_t > \sim \Gamma_D \tau_{1s-2p} [1 - \exp(-t_h/\tau_{1s-2p})]$$

$$\frac{2p}{\frac{2}{5}} \tau_{1s-2p}$$

$$1s$$





Spontaneous emission of a phonon



The phonon bottleneck effect is expected.

B- or λ -dependence of phonon emission rate



 $2p - \prod_{\substack{\epsilon_{1s-2p} \\ \epsilon_{1s-2p} \\ \epsilon_{phonon}}} \epsilon_{phonon}$ $1s - \prod_{a \\ \epsilon_{1s-2p} \\ a \\ \epsilon_{phonon} \\ a \\ \epsilon_{1s-2p} \\ a \\ \epsilon_{phonon} \\ a \\ \epsilon_{1s-2p} \\ \epsilon_{phonon} \\ \epsilon_{1s-2p} \\ \epsilon$

 $a \underbrace{\overset{\ell_y}{\overbrace{ \cdots } }}_{i} \ell_x$

approx. elliptic dot

wavelength of the phonon $\lambda_{1s-2p} = hv/\epsilon_{1s-2p}$ (v = 5100 m/s)

size of the dot

z:
$$a = 12 \text{ nm}$$

x,y: $l_{x/y} = \sqrt{\hbar/m^*} (\omega_{x/y}^2 + \omega_c^2/4)^{-1/4}$

- experiment
- calculation (Fermi's golden rule) approximated to a circular dot

 $\hbar\omega_{eff} = \hbar\sqrt{\omega_x\omega_y(1+\omega_c^2/(\omega_x+\omega_y)^2)}$

standard GaAs material parameters

Deformation potential: 6.8 eV Piezoelectric constant: 0.16 C/m²

U. Bockelmann, Phys. Rev. B 50, 17271 (1994).

a shorter wavelength gives longer lifetime

(bottleneck effect)

Two-electron QD (artificial helium atom)



The previous pulse technique used for one-electron QD was unsuccessful.

 Γ_D^{-1} (~100 ns) < τ_{S-T}

Electrical pump-and-probe experiment





rise time at sample: ~ 0.7 ns (< Γ_d^{-1} , Γ_s^{-1} , τ_{s-T})

average number of tunneling electrons during one pulse

 $< n_t > = \operatorname{Aexp}(-t_h/\tau_{S-T})$

A~1: related to the injection efficiency τ_{S-T} : spin-flip energy relaxation time

Relaxation time from the triplet to the singlet



B- and T- dependence of τ_{S-T}



thermal excitation from the QD to the electrode (T > 1 K)



V_h - dependence of τ_{S-T}



 V_h -dependence indicates the interaction with the electrodes.

---- thermal excitation

$$\Gamma_{tot}^{-1} = 3.5 \text{ ns}$$
 $T = 70 \text{ mK}$

Total energy:

$$U = \frac{(eN - C_g V_g + q_0)^2}{2C_{\Sigma}} + E_{chem}(N, S)$$

(electrostatic energy + chemical energy)



Inelastic cotunneling process



assuming zero-bias voltage (
$$V_{SD} = 0$$
) and zero-temperature

$$\tau_{cot}^{-1} = \frac{1}{h} \left(\frac{1}{\Delta_{I}} + \frac{1}{\Delta_{3}}\right)^{2} (\hbar\Gamma_{tot})^{2} \epsilon_{S-T}$$

$$\epsilon_{S-T} = 0.6 \text{ meV}$$

$$\Gamma_{tot} = \Gamma_{s} + \Gamma_{d} = (7 \text{ ns})^{-1} \text{ (N=2 - N=3)}$$

(obtained from an independent meas.)

M. Eto, Jpn. J. Appl. Phys. 40, 1929(2001).E.V. Sukhorukov, G. Burkard, D. Loss, Phys. Rev. B 63, 125315 (2001).





Spin-orbit effect on the spin relaxation

Spin-orbit interaction: (dominant spin relaxation mechanism in 2D system) lack of crystal inversion symmetry, local electric field, impurities, interfaces, etc.

Spin relaxation in quantum dots

reduction of spin relaxation time (large energy spacing)

$$\tau_{so,theory} = \tau_{e-ph} \frac{E_z^2}{\delta \varepsilon_{s-T}} \sim 600 \ \mu s$$

(lack of crystal inversion symmetry)

 Γ_{e-ph} : phonon emission rate, ~ (3 ns)⁻¹ δ : spin splitting energy, ~ 4 µeV ϵ : energy spacing, ~ 1 meV E_z : vertical confinement energy, ~ 30 meV

A. V. Khaetskii and Yu. V. Nazarov, Phys. Rev. B 61, 12639 (2000).

Our electrical measurements:

 $\tau_{S-T} \sim 200 \ \mu s$ (cotunneling process)

The spin-orbit interaction should have weaker effect.

 $\tau_{SO} > \tau_{CO} \sim 200 \ \mu s$

Comparison between artificial and real atoms

- transition from the 1st excited state to the ground state -

	ertificial atoms (quantum dots)	real atoms	
One-electron system	2p - 1s allowed for <i>phonon</i> emission $\tau = 3 - 10$ ns ($hv \sim 2$ meV)	$H_{2p-1s (Lyman α)}$ allowed for <i>photon</i> emission τ = 1.6 ns (hv = 10.2 eV)	$K_{allowed for photon} emission \tau = 2.6 ns (hv = 1.61 eV)$
two-electron system	(1s)(2p) ³ P - (1s) ^{2 1} S forbidden by <i>spin</i> conservation $\tau \sim 200 \ \mu s$ ($hv \sim 0.5 \ meV$)	He $(1s)(2s) {}^{3}S - (1s)^{2} {}^{1}S$ forbidden by <i>spin & parity</i> $\tau = 7860 \text{ s} (hv = 19.8 \text{ eV})$	Ca (4s)(4p) ${}^{3}P - (4s){}^{2}{}^{1}S$ forbidden by <i>spin</i> $\tau = 385 \ \mu s \ (hv = 1.89 \ eV)$
τ _{forbidden} τ _{allowed}	> 3x10 ⁴	4.5x10 ¹²	1.5x10 ⁵

Spin states in artificial atom are almost ideal, comparable to real atoms.

Spin relaxation time between Zeeman sub-levels

Estimate the spin relaxation time by only considering spin-orbit interactions and phonon emission.



cf. $T_1 \sim 100 \ \mu s$ at $B = 9 \ T$ (ESR study of donor state in GaAs) M. Seck, M. Potemski, P. Wyder, Phys. Rev. B 56, 7422 (1997).

Summary

I. Momentum relaxation phonon emission



II. Spin & momentum relaxation cotunneling effect spin-orbit interaction



III. Spin relaxation between Zeeman sub-levels (spin-orbit interaction)

